

10601122\_CLSTITLES.txt

Titles of most frequently occurring classifications of patents returned  
from a search of 10601122 on Jul 20 , 2007

20 705/36R (18 OR, 2 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT  
705/35 ..Finance (e.g., banking, investment or credit)  
705/36R ...Portfolio selection, planning or analysis

19 705/35 (12 OR, 7 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT  
705/35 ..Finance (e.g., banking, investment or credit)

15 705/37 (11 OR, 4 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT  
705/35 ..Finance (e.g., banking, investment or credit)  
705/37 ...Trading, matching, or bidding

5 705/1 (0 OR, 5 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT

4 705/38 (2 OR, 2 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT  
705/35 ..Finance (e.g., banking, investment or credit)  
705/38 ...Credit (risk) processing or loan processing (e.g.,  
mortgage)

4 705/36T (0 OR, 4 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT  
705/35 ..Finance (e.g., banking, investment or credit)  
705/36R ...Portfolio selection, planning or analysis  
705/36T ....Tax strategies

3 705/4 (2 OR, 1 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR  
MANAGEMENT ARRANGEMENT  
705/4 ..Insurance (e.g., computer implemented system or method for  
writing insurance policy, processing insurance claim, etc.)

2 705/26 (0 OR, 2 XR)  
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION  
705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR

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MANAGEMENT ARRANGEMENT

705/26 ..Electronic shopping (e.g., remote ordering)

2 705/39 (1 OR, 1 XR)

Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION

705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR

MANAGEMENT ARRANGEMENT

705/35 ..Finance (e.g., banking, investment or credit)

705/39 ...Including funds transfer or credit transaction

2 705/7 (1 OR, 1 XR)

Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,  
OR COST/PRICE DETERMINATION

705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR

MANAGEMENT ARRANGEMENT

705/7 ..Operations research

10601122\_WEST.txt

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10601122\_QUAL.txt

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20050149423	25
20060100948	25

10601122\_CLS.txt

Most frequently occurring classifications of patents returned  
from a search of 10601122 on Jul 20 , 2007

Original classifications

18	705/36R
12	705/35
11	705/37
2	705/4
2	705/38

Cross-Reference Classifications

7	705/35
5	705/1
4	705/37
4	705/36T
2	705/36R
2	705/26
2	705/38

Combined classifications

20	705/36R
19	705/35
15	705/37
5	705/1
4	705/38
4	705/36T
3	705/4
2	705/26
2	705/39
2	705/7

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10601122

PLUS Search Results for S/N 10601122 Searched Jul 20, 2007.

The Patent Linguistic Utility System (PLUS) is a USPTO automated search system for U.S. Patents from 1971 to present. PLUS is a query-by-example search system which produces a list of patents that are most closely related linguistically to the application searched. This search was prepared by the staff of the Scientific and Technical Information Center, SIRA.

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6418417  
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20050027634  
20050149423  
20060100948

10601122\_WDS.txt

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above 2  
abstract 1  
accommodate 1  
accounting 4  
accurate 1  
accurately 1  
actual 1  
addition 4  
address 7  
addresses 1  
adjusted 1  
adjusting 1  
affecting 2  
after 7  
allow 2  
although 1  
american 4  
amsterdam 1  
analysis 3  
analysts 1  
announcements 1  
annual 1  
any 2  
application 2  
applications 2  
art 1  
ashenfelter 1  
associated 1  
assume 2  
assumes 2  
assumptions 1  
attempt 2  
attempts 1  
authors 1  
average 1  
averse 2  
aversion 6  
background 2  
backward 1  
based 7  
basis 1  
becomes 1  
before 3  
behavior 10  
believed 1  
below 2  
benefit 1  
benefits 1  
biggest 1  
binomial 5  
black 8  
blackout 1  
block 1  
both 1  
boundary 1  
brief 2  
bureau 1  
but 2  
calibrate 4  
calibrated 2  
calibrates 1  
calibrating 2

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calibration 3  
call 1  
can 13  
cannot 1  
card 1  
carpenter 2  
cause 1  
certain 2  
certainty 2  
character 1  
chosen 1  
claims 1  
cliff 1  
coca 1  
cola 1  
common 1  
companies 2  
company 7  
compared 3  
compensation 7  
component 1  
comprehensive 1  
compute 1  
computer 3  
conclusion 1  
consequence 1  
consequently 2  
consider 1  
considerations 1  
constant 1  
continuing 1  
contrasted 1  
correctly 1  
cost 8  
costly 1  
costs 2  
course 1  
cross 1  
cure 1  
curve 1  
date 6  
dates 1  
debate 1  
decade 1  
december 3  
decision 1  
decisions 3  
decrease 1  
deep 1  
deficiencies 1  
deficiency 1  
departure 1  
derivatives 1  
describing 1  
description 1  
design 2  
designed 2  
detail 1  
determine 4  
determined 1  
determines 1  
determining 2  
develop 1

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developed 1  
develops 2  
diagram 1  
differences 1  
different 2  
differentiate 3  
directed 1  
discussed 3  
distribution 2  
diversified 2  
diversify 1  
dividend 1  
dramatically 1  
drawback 1  
drawings 1  
duration 1  
durations 1  
during 1  
earlier 1  
early 4  
economic 3  
economics 5  
eds 1  
effect 3  
effective 1  
effects 2  
either 3  
elaborate 1  
electric 1  
else 1  
emerged 1  
empirical 2  
employee 13  
employees 11  
enables 1  
enabling 1  
entirety 1  
equal 2  
equivalent 2  
error 1  
eso 26  
esos 50  
especially 1  
essentially 1  
eto 1  
etos 11  
european 4  
evolves 1  
example 1  
exceeds 1  
exception 2  
exchange 3  
executive 4  
executives 4  
exemplary 1  
exercise 35  
exercised 6  
exercises 1  
exercising 1  
exists 1  
exogenous 1  
expected 8  
expense 1

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expiration 3  
expires 2  
expiring 2  
explicitly 1  
extended 1  
extension 1  
factors 2  
failing 1  
fails 1  
fall 1  
far 2  
feature 3  
features 17  
few 1  
field 1  
filed 1  
financial 4  
firm 6  
firms 2  
first 2  
fiscal 1  
fitting 1  
flexibility 1  
forced 3  
forfeit 3  
forfeited 1  
forfeiture 15  
form 1  
formula 1  
formulas 1  
four 2  
framework 1  
future 1  
general 1  
give 2  
given 2  
graded 2  
grant 2  
granted 2  
greatly 1  
grounds 1  
hall 6  
handbook 1  
hedge 1  
heightened 1  
hence 2  
her 3  
hereby 1  
high 2  
his 1  
holder 3  
holders 1  
holland 1  
how 1  
huddart 3  
importance 1  
important 1  
improvement 1  
inc 1  
incentive 2  
include 4  
including 3

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incorporated 1  
incorporates 1  
incorrect 1  
increase 2  
increased 1  
increases 2  
index 2  
indexed 4  
induction 1  
initially 1  
input 1  
inputs 2  
instead 1  
interest 1  
intrinsic 3  
inversely 1  
investor 1  
investors 1  
johnson 3  
joint 2  
journal 7  
jun 1  
key 2  
know 1  
kulatilaka 3  
labor 2  
lambert 3  
lang 1  
larcker 1  
large 1  
last 1  
least 1  
leave 1  
leaving 2  
less 6  
level 2  
levels 2  
life 2  
limitations 2  
liquidity 1  
literature 2  
lower 1  
make 3  
manner 1  
marcus 2  
market 3  
maximization 2  
maximize 2  
may 2  
measures 6  
meet 1  
mentioned 1  
method 4  
methodology 1  
methods 1  
minimum 1  
minus 1  
model 28  
models 11  
money 2  
months 2  
more 2  
most 2

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necessary 1  
net 1  
neutral 2  
node 1  
non 10  
nontraditional 1  
nor 1  
normal 1  
north 1  
noted 2  
novel 1  
november 1  
number 2  
numerous 1  
obligation 1  
observed 7  
obtain 1  
occurs 3  
once 1  
one 1  
only 7  
opposed 1  
optimal 3  
option 22  
options 21  
ordinary 2  
out 1  
output 1  
outside 2  
over 4  
overcome 2  
overstate 1  
overstated 1  
pages 6  
paper 1  
parameter 2  
parameters 4  
particular 1  
patent 1  
pay 1  
percent 3  
percentage 1  
performance 4  
period 2  
perspective 3  
place 1  
plans 4  
pointed 1  
poorly 2  
portfolio 2  
portion 1  
possibility 4  
practicing 1  
predict 1  
predicted 1  
predicts 1  
prescribed 1  
preset 1  
price 20  
prices 1

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pricing 2  
principles 1  
prior 1  
probabilities 2  
probability 4  
problems 1  
produce 1  
produced 1  
program 2  
programs 2  
proper 1  
providing 2  
provisional 1  
provisions 1  
purchase 1  
purchased 3  
quarter 1  
random 1  
ratio 2  
reality 1  
reasons 2  
receives 1  
recent 1  
reduce 1  
reduces 1  
reference 2  
referred 1  
reflect 4  
reflects 3  
related 5  
relates 1  
remainder 1  
report 1  
reported 2  
reporting 1  
representation 1  
repriceable 4  
require 2  
required 2  
requirements 2  
research 2  
reset 2  
restrictions 1  
result 1  
results 3  
retention 1  
review 1  
right 1  
rigorous 1  
risk 12  
rubinstein 4  
schedule 1  
scholes 8  
second 1  
see 5  
seem 1  
sensible 2  
several 2  
shareholders 1  
shares 2  
she 4  
short 1  
shortcoming 1

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shortly 2  
show 3  
shown 1  
shows 2  
simple 1  
single 1  
six 1  
solving 1  
spring 1  
standard 4  
stated 2  
steps 1  
stock 17  
strike 9  
substantially 2  
sum 1  
summary 1  
system 4  
ten 1  
tend 6  
term 1  
termed 1  
terminal 3  
them 6  
three 1  
through 1  
tian 3  
tied 1  
time 7  
too 2  
top 1  
traded 6  
traditional 7  
traditionally 1  
transferability 2  
treated 1  
tree 4  
two 2  
type 3  
types 1  
typically 3  
unable 2  
under 2  
underlying 2  
undiversified 1  
unique 3  
unlike 6  
used 5  
user 1  
uses 1  
using 2  
usually 5  
utility 3  
valuation 9  
valuations 1  
value 31  
valued 2  
values 1  
valuing 4  
variety 1  
vary 2  
verrecchia 1  
very 1

10601122\_WDS.txt

vest 3  
vested 5  
vesting 18  
vests 1  
vol 5  
volatilities 1  
volatility 2  
water 2  
way 1  
wealth 5  
well 1  
wide 1  
working 1  
worth 2  
worthless 2  
year 3  
years 5  
yet 1  
yields 1